

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Edward J. Kroliczek et al.                      Art Unit : 3772  
Serial No. : 10/694,387    Examiner : Nihir B. Patel  
Filed : October 28, 2003    Conf. No. : 9136  
Title : HEAT TRANSFER SYSTEM

## MAIL STOP AMENDMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Applicants request consideration of the references listed on the attached PTO-1449 form. Under 37 C.F.R. § 1.98 (a)(2)(ii), only copies of foreign patent documents and/or non-patent literature are enclosed. Copies of any listed U.S. patents or U.S. patent application publications can be provided upon request. A copy of a communication from a foreign patent office in a counterpart application is also enclosed.

This statement is being filed before the receipt of a first Office Action on the merits. Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: April 17, 2007

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Sheet 1 of 1

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| Substitute Form PTO-1449<br>(Modified)   | U.S. Department of Commerce<br>Patent and Trademark Office | Attorney's Docket No.<br>13442-007001   | Application No.<br>10/694,387          |
| <b>Information Disclosure Statement<br/>by Applicant</b><br>(Use several sheets if necessary)<br><br>(37 CFR §1.98(b)) |  | Applicant<br>Edward J. Kroliczek et al. |  |
|  |  | Filing Date<br>October 28, 2003         | Group Art Unit<br><del>3772</del> 3744 |

| U.S. Patent Documents |           |                 |                  |          |       |          |                            |
|-----------------------|-----------|-----------------|------------------|----------|-------|----------|----------------------------|
| Examiner Initial      | Desig. ID | Document Number | Publication Date | Patentee | Class | Subclass | Filing Date If Appropriate |
|                       | AA        |                 |                  |          |       |          |                            |
|                       | AB        |                 |                  |          |       |          |                            |
|                       | AC        |                 |                  |          |       |          |                            |
|                       | AD        |                 |                  |          |       |          |                            |
|                       | AE        |                 |                  |          |       |          |                            |
|                       | AF        |                 |                  |          |       |          |                            |
|                       | AG        |                 |                  |          |       |          |                            |
|                       | AH        |                 |                  |          |       |          |                            |
|                       | AI        |                 |                  |          |       |          |                            |
|                       | AJ        |                 |                  |          |       |          |                            |
|                       | AK        |                 |                  |          |       |          |                            |

| Foreign Patent Documents or Published Foreign Patent Applications |           |                 |                  |                          |       |          |             |    |
|---|-----------|-----------------|------------------|--------------------------|-------|----------|-------------|----|
| Examiner Initial  | Desig. ID | Document Number | Publication Date | Country or Patent Office | Class | Subclass | Translation |    |
|   |           |                 |                  |                          |       |          | Yes         | No |
|   | AL        |                 |                  |                          |       |          |             |    |
|   | AM        |                 |                  |                          |       |          |             |    |
|   | AN        |                 |                  |                          |       |          |             |    |
|   | AO        |                 |                  |                          |       |          |             |    |
|   | AP        |                 |                  |                          |       |          |             |    |

| Other Documents (include Author, Title, Date, and Place of Publication) |           |   |
|---|-----------|---|
| Examiner Initial  | Desig. ID | Document  |
| /LC/  | AQ        | PCT International Preliminary Examination Report (Application No. PCT/US03/34165), mailed March 8, 2007, 3 total pages. |
|   | AR        |   |
|   | AS        |   |
|   | AT        |   |

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|---|-------------------------------|
| Examiner Signature<br>/Ljiljana Ciric/ (07/04/2008) | Date Considered<br>07/04/2008 |
|---|-------------------------------|

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.